



CENTRAL TEXAS REGIONAL
MOBILITY AUTHORITY

November 8, 2017
AGENDA ITEM #5

Approve the annual compliance report to the
Texas Department of Transportation required
by 43 Texas Administrative Code §26.65

Strategic Plan Relevance: Regional Mobility
Department: Executive
Contact: Bill Chapman, Chief Financial Officer
Associated Costs: N/A
Funding Source: N/A
Action Requested: Consider and act on draft resolution

Summary:

43 Texas Administrative Code §26.65, adopted by the Texas Transportation Commission, requires each regional mobility authority (RMA) to file a report with TxDOT confirming that the RMA has complied with all the duties the RMA is required to perform under Texas Administrative Code, Title 43, Chapter 26, Subchapter G. The compliance report must be approved by the RMA's board of directors and certified as correct by the RMA's executive director.

The attached resolution provides the required Board approval for the compliance report.

Backup Provided: Draft Resolution
Compliance Report

**GENERAL MEETING OF THE BOARD OF DIRECTORS
OF THE
CENTRAL TEXAS REGIONAL MOBILITY AUTHORITY**

RESOLUTION NO. 17-0XX

**APPROVING THE ANNUAL COMPLIANCE REPORT
TO THE TEXAS DEPARTMENT OF TRANSPORTATION
REQUIRED BY 43 TEX. ADMIN. CODE § 26.65.**

WHEREAS, the Texas Transportation Commission has adopted rules codified at Title 43, Chapter 26, Subchapter G of the Texas Administrative Code (TAC) that require the Mobility Authority to file certain reports and conduct certain audits, as specified therein; and

WHEREAS, pursuant to 43 TAC § 26.65, the Mobility Authority is required to file a report with the Texas Department of Transportation (TxDOT) confirming that the Mobility Authority has complied with all the duties it is required to perform under Title 43, Chapter 26, Subchapter G of the Texas Administrative Code; and

WHEREAS, the Executive Director has prepared a compliance report containing the information in the form required by 43 TAC § 26.65 which is attached hereto as Exhibit A; and

WHEREAS, the Executive Director certifies to the Board that the information contained in the compliance report attached hereto as Exhibit A is true and correct; and

WHEREAS, the compliance report must be approved by the Board prior to submission to TxDOT.

NOW THEREFORE, BE IT RESOLVED, that the Board hereby approves the compliance report in the form attached as Exhibit A, and authorizes the Executive Director to certify and submit the compliance report to the Texas Department of Transportation in accordance with Title 43, Chapter 26, Subchapter G of the Texas Administrative Code.

Adopted by the Board of Directors of the Central Texas Regional Mobility Authority on the 8th day of November 2017.

Submitted and reviewed by:

Approved:

Geoffrey Petrov, General Counsel

Ray A. Wilkerson
Chairman, Board of Directors

Exhibit A

Central Texas Regional Mobility Authority

Compliance Report

Texas Administrative Code Title 43, Part I, Chapter 26, Subchapter G

§26.65(a) Annual Reports to the Commission

Compliance Rule	Compliance Statement	Certification
Rule §26.61 Written Reports:		
The annual operating and capital budgets adopted by the RMA year.	The Mobility Authority submits copies of the annual operating and capital budget adopted for the Fiscal Year 2018 beginning July 1, 2017, to Travis County and Williamson County.	The Board of Directors approved the FY 2018 Budget by Resolution No. 17-033 enacted on June 28, 2017.
Any annual financial information and notices of material events required to be disclosed under Rule 15c2-12 of the SEC.	No financial information or notices are required to be disclosed; not applicable.	
To the extent not disclosed in another report required in this compliance report, a statement of any surplus revenue held by the RMA and a summary of how it intends to use the surplus revenue.	The Mobility Authority did not hold any "surplus revenue" in FY 2016, as that term is defined by §370.003(12) of the Transportation Code.	
An independent auditor's review of the reports of investment transactions prepared under Government Code, §2256.023.	Included as part of the FY 2017 annual audit. See certification below.	Included as part of the FY 2017 annual audit. See certification below.
Rule §26.62 Annual Audit:		
The RMA shall maintain its books and records in accordance with generally accepted accounting principles in the United States and shall have an annual financial and compliance audit of such books and records.	The Mobility Authority received an unqualified opinion for FY 2017 from an independent certified public accountant.	The FY 2017 annual audit was accepted by the Board of Directors (acting through its Audit Committee) by resolution 17-056 enacted September 26, 2017.
The annual audit shall be submitted to each county or city that is a part of the RMA within 120 days after the end of the fiscal year, and conducted by an independent certified public accountant.	The Mobility Authority submitted copies of the FY 2017 annual audit to Travis County and Williamson County.	The Mobility Authority provided to Travis County and Williamson County a copy of the FY 2017 audit accepted by resolution September 26, 2017.
All work papers and reports shall be retained for a minimum of four years from the date of the audit.	Work papers and reports are and will be retained for a minimum of four years.	

Rule §26.63 Other Reports to Counties and Cities:		
Provide other reports and information regarding its activities promptly when requested by the counties or cities.	The Mobility Authority promptly provides reports and information regarding its activities when requested by Travis County or Williamson County. There is no city that is a part of the Central Texas Regional Mobility Authority.	
Rule §26.64 Operating Records:		
The Department will have access to all operating and financial records of the RMA. The executive director will provide notification if access is desired by the department.	The Mobility Authority will provide the Texas Department of Transportation access to all its operating and financial records when requested by the Department's executive director.	