



**CENTRAL TEXAS
Regional Mobility Authority**

Meeting Date: September 30, 2015
AGENDA ITEM # 8

Approve the annual compliance report to the
Texas Department of Transportation required by
43 Texas Administrative Code §26.65.

Strategic Plan Relevance: Regional Mobility/Innovation/Economic Vitality/ Sustainability
Department: Finance/Legal
Contact: Bill Chapman, Chief Financial Officer
Andrew Martin, General Counsel
Associated Costs: Not Applicable
Funding Source: Not Applicable
Action Requested: Consider and act on draft resolution

Summary:

Rule 26.65 adopted by the Texas Department of Transportation requires each regional mobility authority to file a report with TxDOT to confirm the regional mobility authority has complied with reporting requirements established by state law and the rule. The rule further provides the compliance report must be approved by the board of directors of the regional mobility authority and certified by its executive director.

The attached resolution provides the required board approval for the compliance report.

Backup provided: Draft Resolution, with compliance report attached as an exhibit

Exhibit 1 to Resolution No. 15-___

**GENERAL MEETING OF THE BOARD OF DIRECTORS
OF THE
CENTRAL TEXAS REGIONAL MOBILITY AUTHORITY**

RESOLUTION NO. 15-___

**APPROVING THE ANNUAL COMPLIANCE REPORT TO THE TEXAS
DEPARTMENT OF TRANSPORTATION REQUIRED BY 43 TEX. ADMIN.
CODE § 26.65.**

WHEREAS, the Texas Department of Transportation has adopted rules codified at Subchapter G, Chapter 26, Title 43 of the Texas Administrative Code that require the Mobility Authority to report certain financial information including the annual budgets and independent audits to Travis County and Williamson County, the counties that are a part of the Central Texas Regional Mobility Authority; and

WHEREAS, by Resolution No. 15-035, enacted July 1, 2015, the Board approved the Mobility Authority budget for fiscal year 2016; and

WHEREAS, by separate resolution enacted September 30, 2015, the Board (by the action of its Audit Committee) has approved or will approve the independent audits of the Mobility Authority prepared by Padgett, Stratemann & Co., LLP, for the fiscal year ending June 30, 2015; and

WHEREAS, the Compliance Report attached as Exhibit 1 to this resolution reports to the Texas Department of Transportation the information required by 43 *TEX. ADMIN. CODE* § 26.65.

NOW THEREFORE, BE IT RESOLVED, that the Board of Directors hereby approves the Compliance Report in the form attached as Exhibit 1 to this resolution, and authorizes the Executive Director to certify and submit the Compliance Report to the Texas Department of Transportation.

Adopted by the Board of Directors of the Central Texas Regional Mobility Authority on the 30th day of September, 2015.

Submitted and reviewed by:

Approved:

Andrew Martin, General Counsel

Ray A. Wilkerson
Chairman, Board of Directors

Exhibit 1 to Resolution No. 15-___

**Central Texas Regional Mobility Authority
Compliance Report**

Texas Administrative Code Title 43, Part I, Chapter 26, Subchapter G
§26.65(a) Annual Reports to the Commission

Compliance Rule	Compliance Statement	Certification
<i>Rule §26.61 Written Reports:</i>		
The annual operating and capital budgets adopted by the RMA year.	The Mobility Authority submits copies of the annual operating and capital budgets adopted for the Fiscal Year 2016 beginning July 1, 2015, to Travis County and Williamson County.	The Board of Directors approved the FY 2016 Budget by Resolution No. 15-035 enacted on July 1, 2015.
Any annual financial information and notices of material events required to be disclosed under Rule 15c2-12 of the SEC.	No financial information or notices are required to be disclosed; not applicable.	
To the extent not disclosed in another report required in this compliance report, a statement of any surplus revenue held by the RMA and a summary of how it intends to use the surplus revenue.	The Mobility Authority did not hold any “surplus revenue” in FY 2015, as that term is defined by § 370.003(12) of the Transportation Code.	
An independent auditor's review of the reports of investment transactions prepared under Government Code, §2256.023.	Included as part of the FY 2015 annual audit. See certification below.	Included as part of the FY 2015 annual audit. See certification below.
<i>Rule §26.62 Annual Audit:</i>		
The RMA shall maintain its books and records in accordance with generally accepted accounting principles in the United States and shall have an annual financial and compliance audit of such books and records.	The Mobility Authority received an unqualified opinion for FY 2015 from an independent certified public accountant.	The FY 2015 annual audit was accepted by the Board of Directors (acting through its Audit Committee) by resolution enacted September 30, 2015.

Exhibit 1 to Resolution No. 15-___

<p>The annual audit shall be submitted to each county or city that is a part of the RMA within 120 days after the end of the fiscal year, and conducted by an independent certified public accountant.</p>	<p>The Mobility Authority submits copies of the FY 2015 annual audit to Travis County and Williamson County.</p>	<p>The Mobility Authority will provide to Travis County and Williamson County a copy of the FY 2015 audit accepted by resolution enacted September 30, 2015.</p>
<p>All work papers and reports shall be retained for a minimum of four years from the date of the audit.</p>	<p>Work papers and reports are and will be retained for a minimum of four years.</p>	
<p><i>Rule §26.63 Other Reports to Counties and Cities:</i></p>		
<p>Provide other reports and information regarding its activities promptly when requested by the counties or cities.</p>	<p>The Mobility Authority promptly provides reports and information regarding its activities when requested by Travis County or Williamson County. There is no city that is a part of the Central Texas Regional Mobility Authority.</p>	
<p><i>Rule §26.64 Operating Records:</i></p>		
<p>The Department will have access to all operating and financial records of the RMA. The executive director will provide notification if access is desired by the department.</p>	<p>The Mobility Authority will provide the Texas Department of Transportation with access to all its operating and financial records when requested by the Department’s executive director.</p>	